



FORM PTO-1449 PATENT & TRADEMARK OFFICE	SERIAL NO. 09/470,428	CASE NO. 8864/8
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		FILING DATE December 22, 1999
(use several sheets if necessary)	APPLICANT(S): Griffith D. Neal	

REFERENCE DESIGNATION **U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
TJN	F1 4,855,853	08/08/1989	Matsushita et al.		
	F2 5,331,483	07/19/1994	Müller et al.		
	F3 5,664,314	09/09/1997	Pelstring et al.		
	F4 5,675,201	10/07/1997	Komura et al.		
	F5 5,793,129	08/11/1998	Parsonault et al.		
	F6 5,822,846	10/20/1998	Moritan et al.		
	F7 5,831,355	11/03/1998	Oku		
	F8 5,986,365	11/16/1999	Kuwert et al.		
	F9 6,075,304	06/13/2000	Nakatsuka		
	F10 6,102,636	08/15/2000	Geise		
	F11 6,148,501	11/21/2000	Grantz et al.		
	F12 6,163,952	12/26/2000	Takehara		
	F13 6,215,219 B1	04/10/2001	Hwang		

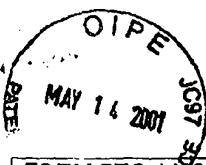
FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
TJN	F14 DE 42 21 429 A 1	01/07/1993	Germany		X
	F15 0 818 6953	07/16/1996	Japan		Abstract
	F16 0 312 8645	05/31/1991	Japan		Abstract
	F17 0 129 1652	11/24/1989	Japan		Abstract
	F18 0 119 0256	07/31/1989	Japan		Abstract
	F19 JP 352079207A	07/04/1977	Japan		Abstract

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	

EXAMINER	<i>TJN</i>	DATE CONSIDERED	<i>9-12-07</i>
----------	------------	-----------------	----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1479 <small>TRADE MARKS</small>	SERIAL NO. 09/470,428	CASE NO. 8864/8
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE December 22, 1999	GROUP ART UNIT 2834
(use several sheets if necessary)	APPLICANT(S): Griffith D. Neal	

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
E1	3,638,055	01/25/1972	Zimmermann		
E2	4,492,889	01/08/1985	Fukushi et al.		
E3	5,075,585	12/24/1991	Teruyama et al.		
E4	5,814,412	09/29/1998	Terada et al.		

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 09/470,428 FILING DATE December 22, 1999 APPLICANT(S): Griffith D. Neal	CASE NO. 8864/8 GROUP ART UNIT 2754
--	--	--

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
D1	4,128,527	12/05/78	Kinjo et al.		
D2	4,352,897	10/05/82	Ogata et al.		
D3	4,387,311	06/07/83	Kobayashi et al.		
D4	4,679,313	07/14/87	Schultz et al.		
D5	4,760,299	07/26/88	Dickie et al.		
D6	4,868,970	09/26/89	Schultz et al.		
D7	4,954,739	09/04/90	Schultz et al.		
D8	5,008,572	04/16/91	Marshall et al.		
D9	5,694,268	12/02/97	Dunfield et al.		
D10	5,751,085	05/12/98	Hayashi		
D11	5,880,179	03/09/99	Ito et al.		
D12	5,942,824	08/24/99	Shioya et al.		
D13	6,002,185	12/14/99	Nakao et al.		

RECEIVED
FEB 02 2001
Technology Center 2600

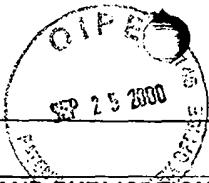
FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES Abstract	NO
D14	05336722	12/17/93	Japan		Abstract	
D15	10070870	03/10/98	Japan		Abstract	
D16	11082508	03/26/99	Japan		Abstract	

EXAMINER INITIAL	U. S. Patent Applications		
	Filing Date	Serial No.	
D17	Dec. 22, 1999	09/470,432	copy of claims enclosed
D18	Dec. 22, 1999	09/470,434	copy of claims enclosed
D19	Dec. 22, 1999	09/470,430	copy of claims enclosed
D20	Dec. 22, 1999	09/470,429	copy of claims enclosed
D21	Dec. 22, 1999	09/470,427	copy of claims enclosed
D22	Dec. 22, 1999	09/470,431	copy of claims enclosed
D23	Dec. 22, 1999	09/470,433	copy of claims enclosed
D24	Dec. 22, 1999	09/470,425	copy of claims enclosed
D25	Dec. 22, 1999	09/470,426	copy of claims enclosed
D26	Dec. 22, 1999	09/470,424	copy of claims enclosed
D27	Dec. 15, 2000	09/738,268	copy of application as originally filed enclosed

EXAMINER	<i>T. D. Neal</i>	DATE CONSIDERED	<i>9-02-03</i>
----------	-------------------	-----------------	----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
Draw line through citation if not in conformance and not considered. Include copy of this form with next
communication to applicant.



FORM PTO-1449	SERIAL NO. 09/470,428	CASE NO. 8864/8
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE December 22, 1999	GROUP ART UNIT 2754
(use several sheets if necessary)	APPLICANT(S): Griffith D. Neal	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

EXAMINER	<i>Mark</i>	DATE CONSIDERED	9-12-03
----------	-------------	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	SERIAL NO. 09/470,428	CASE NO. 8864/8
	FILING DATE December 22, 1999	GROUP ART UNIT 2754
	APPLICANT(S): Griffith D. Neal	

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	
DK	B33	Product Information from Dupont Engineering Polymers entitled "Electrical/Electronic Thermoplastic Encapsulation," (no date), Publ: Reorder No.: H-58633 (R, 96.7), 20 pages.
	B34	The Epoxylite Corporation, article from the Internet entitled "Vacuum Pressure Impregnation (VPI) Systems", 11/19/99, < http://www.epoxylite.com/EpoxyliteEquipment.htm >, 3 pages.
	B35	Buchanan Motor Works, Inc., article from the Internet entitled "Epoxy Seal - Prevents Down Time and Keeps Equipment Running Longer," 07/14/99, < http://www.bmwworks.com/VIP.htm >, 1 page.
	B36	Neeltran Inc., article from the Internet entitled "Vacuum Pressure Impregnation (VPI)", 11/19/99, < http://www.neeltran.thomasregister.com/olc/neeltran/neel9.htm > 2 pages.

RECEIVED
AUG 21 2000
TC 2700 MAIL ROOM

EXAMINER	DK	DATE CONSIDERED	9-18-03
----------	----	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPFP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449		SERIAL NO. 09/470,428	CASE NO. 8864/8
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT		FILING DATE December 22, 1999	GROUP ART UNIT 2754
(use several sheets if necessary) APPLICANT(S): Griffith D. Neal			



REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
JW	B1 3,590,328	06/29/71	Bert L. Frescura		
	B2 3,979,530	09/07/76	Schwider et al.		
	B3 4,858,073	08/15/89	Gregory		
	B4 5,036,580	08/06/91	Fox et al.		
	B5 5,142,103	08/25/92	Stine		
	B6 5,147,982	09/15/92	Steffen		
	B7 5,345,129	09/06/94	Molnar		
	B8 5,396,210	03/07/95	Purohit et al.		
	B9 5,400,218	03/21/95	Val		
	B10 5,461,772	10/31/95	Puri		
	B11 5,621,372	04/15/97	Purohit		
	B12 5,698,919	12/16/97	Obara		
	B13 5,728,600	03/17/98	Saxelby, Jr. et al.		
	B14 5,766,535	06/16/98	Ong		
	B15 5,850,318	12/15/98	Dunfield et al.		
	B16 5,881,447	03/16/99	Molnar		
	B17 5,958,466	09/28/99	Ong		
	B18 6,019,516	02/01/00	Leuthold et al.		
	B19 6,071,014	06/06/00	Lee et al.		
	B20 6,020,661	02/01/00	Trago et al.		

FOREIGN PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
ZD	B21 870,878	01/15/79	Belgium		Abstract
	B22 891,258	03/16/82	Belgium		Abstract
	B23 DT 25 39 492 A1	03/10/77	Germany		Abstract
	B24 EP 0 747 943 A2	02/18/98	EPO		
*	B25 EP 0 883 171 A1	12/09/98	EPO		Abstract
	B26 2 647 958	12/07/90	France		Abstract
	B27 WO 92/06532	04/16/92	PCT		
	B28 WO 96/20501	07/04/96	PCT		
	B29 WO 96/33533	10/24/96	PCT		
	B30 WO 97/39870	10/30/97	PCT		
	B31 SU 1334297	08/30/87	Soviet Union		Abstract
	B32 SU 1494148	07/15/89	Soviet Union		Abstract

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;
Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

SERIAL NO.

09/470,428

CASE NO.

8864-8

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

(use several sheets if necessary)

FILING DATE

December 22, 1999

GROUP ART UNIT

20201

JUN 14 2000

APPLICANT(S): Griffith D. Neal

RECEIVED

GROUP 2700

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1 3,942,054	03/02/1976	Kristen et al.		
	A2 4,572,979	02/25/1986	Haar et al.		
	A3 4,801,833	01/31/1989	Dye		
	A4 4,853,576	08/01/1989	Mayumi et al.		
	A5 5,121,021	06/09/1992	Ward		
	A6 5,134,327	07/28/1992	Sumi et al.		
	A7 5,206,554	04/27/1993	Perrot		
	A8 5,268,607	12/07/1993	McManus		
	A9 5,334,897	08/02/1994	Ineson et al.		
	A10 5,382,852	01/17/1995	Yuhi et al.		
	A11 5,414,317	05/09/1995	Reid et al.		
	A12 5,500,780	03/19/1996	Boutaghou et al.		
	A13 5,506,458	04/09/1996	Pace et al.		
	A14 5,541,787	07/30/1996	Jabbari et al.		
	A15 5,548,458	08/20/1996	Pelstring et al.		
	A16 5,558,445	09/24/1996	Chen et al.		
	A17 5,579,188	11/26/1996	Dunfield et al.		
	A18 5,587,617	12/24/1996	Dunfield et al.		
	A19 5,598,048	01/28/1997	Dunfield et al.		
	A20 5,610,463	03/11/1997	Dunfield et al.		
	A21 5,619,083	04/08/1997	Dunfield et al.		
	A22 5,619,389	04/08/1997	Dunfield et al.		
	A23 5,668,427	09/16/1997	Morita		
	A24 5,672,927	09/30/1997	Viskochil		
	A25 5,675,196	10/07/1997	Huang et al.		
	A26 5,694,268	12/02/1997	Dunfield et al.		
	A27 5,729,404	03/17/1998	Dunfield et al.		
	A28 5,742,450	04/21/1998	Moser		
	A29 5,751,514	05/12/1998	Hyde et al.		
	A30 5,633,545	05/27/1997	Albrecht et al.		
	A31 5,666,242	09/09/1997	Edwards et al.		
	A32 5,806,169	09/15/1998	Trago et al.		
EXAMINER INITIAL		OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)			
	A33	LNP Engineering Plastics, Press Release entitled "LNP Introduces First-Ever Line of Thermally Conductive Compounds," January 28, 1999 (2 pages)			
	A34	LNP Engineering Plastics, Advertisement entitled "Konduit™ Thermally Conductive Composites," undated (2 pages)			

EXAMINER

DATE CONSIDERED

9-12-03

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.